

MEMORANDUM

TO: File Nos. S7-12-22

FROM: John Prochilo, Advisor to the Director of Trading and Markets
Division of Trading and Markets
Division of Economic and Risk Analysis

RE: Meeting with the Group of Thirty

DATE: January 5, 2024

On January 5, 2024, staff from the Division of Trading and Markets (TM) and the Division of Economic and Risk Analysis (DERA) met with the Group of Thirty (G30). The general topic discussed was the Commission's proposed rule *Further Definition of "As a Part of a Regular Business" in the Definition of Dealer and Government Securities Dealer* (S7-12-22).

The attendee from TM included Haoxiang Zhu. The attendee from DERA included Jessica Wachter.

The attendee from G30 included Darrell Duffie.