

MEMORANDUM

TO: File No. S7-12-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representative of FIA Principal Traders Group

On September 27, 2023, Susan Poklemba spoke with a representative of FIA Principal Traders Group: Scott Parsons, of Delta Strategy Group.

Among the topics discussed was the Commission's Proposed Changes to the Definition of Dealer and Government Securities Dealer (File No. S7-12-22).