

MEMORANDUM

TO: File No. S7-12-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of FIA Principal Traders Group

On September 13, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of FIA Principal Traders Group, including:

- Ken Wilson, Tower Research Capital;
- Adam Nunes, Hudson River Trading;
- Paul Jiganti, IMC;
- Liam Smith, Optiver;
- Edward Monrad, Optiver;
- Graham Harper, DRW;
- Joanna Mallers, FIA PTG;
- Scott Parsons, Delta Strategy Group, and
- Edmund Perry, Delta Strategy Group.

Among the topics discussed was the Commission's Proposed Changes to the Definition of Dealer and Government Securities Dealer (File No. S7-12-22).