

**MEMORANDUM**

TO: File Nos. S7-23-22, S7-12-22

FROM: Claire O’Sullivan  
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with Futures Industry Association Principal Traders’ Group

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On Monday, November 28, 2022, Commissioner Caroline Crenshaw and Claire O’Sullivan spoke with representatives of the Futures Industry Association Principal Traders’ Group, including:

Aaron Friedman	Citadel Securities
Jonah Platt	Citadel Securities
Graham Harper	DRW
Mark Wendland	DRW
Rob Armour	DRW
Joanna Mallers	FIA PTG
Adam Nunes	Hudson River
Scott McClure	Hudson River
Jason Downey	IMC
Paul Jiganti	IMC
Aidan Butler	Jump
Jim Draddy	Jump
Kevin Osborn	Jump
Paul Kenyon	Jump
Steve Waldron	Jump
Graham McDannel	Optiver
Jeff Cranston	Optiver
Jerry Swarbrick	Optiver
Johannah Ladd	Quantlab

Nicole Junco	Quantlab
Matt Haraburda	XR
Ryan Hitch	XR
Thom Mack	XR

Among the topics discussed were the Commission’s Proposed Rulemaking with respect to the Further Definition of "As a Part of a Regular Business" in the Definition of Dealer and Government Securities Dealer and Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities Fund Advisers.