

MEMORANDUM

TO: Files No. S7-12-22

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Two Sigma

DATE: September 27, 2022

On September 27, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D’Allaird, Diem-Mi Lu, and Brandon Hill met with following representatives of Two Sigma:

Hunter Landrum, Two Sigma
Tom Koonce, Rich Feuer Anderson
Ben Harney, Rich Feuer Anderson

Among the topics discussed was the Commission’s proposed rule on Further Definition of “As a Part of a Regular Business” in the Definition of Dealer and Government Securities Dealer.