

To: File No.: S7-12-22  
From: Amanda Fischer  
Date: 4/29/22  
Re: Meeting on Further Definition of “As a Part of a Regular Business” in the Definition of Dealer and Government Securities Dealer

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On April 15, 2022, Amanda Fischer of Chair Gensler’s office met with Scott Parsons of Delta Strategy Group regarding the SEC’s proposal further defining the terms “dealer” and “government securities dealer,” specifically the parameters of the qualitative and quantitative thresholds under the proposal.