

MEMORANDUM

TO: File No. S7-12-11

FROM: Marc A. Leaf
Office of Commissioner Luis A. Aguilar

DATE: January 30, 2013

SUBJECT: Meeting with representatives of Americans for Financial Reform

On January 29, 2013, Commissioner Luis Aguilar, Smeeta Ramarathnam, Chief of Staff to Commissioner Aguilar, and Marc Leaf, Counsel to Commissioner Aguilar, met with:

- Vineeta Anand, American Federation of Labor and Congress of Industrial Organizations (“AFL-CIO”)
- John Keenan, Corporate Governance Analyst, Capital Strategies AFSCME
- Alya Kayal, Director of Policy and Programs, US SIF
- Louis Malizia, Assistant Director, International Brotherhood of Teamsters Capital Strategies Department
- Bart Naylor, Financial Policy Advocate, Public Citizen Congress Watch.

The discussion addressed, among other things, the anticipated rulemaking required to implement Section 953(b) of the Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank”), including matters discussed in the comment letter dated August 11, 2011, submitted by the AFL-CIO.