

MEMORANDUM

To: File No. S7-11-21

From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Members of the Investment Company Institute (ICI)

On 14 March, 2022, Commissioner Hester Peirce and William Middlebrooks, Counsel to Commissioner Peirce, met with the following staff and members from ICI:

- Sarah Bessin, Associate General Counsel, Investment Company Institute
- Elizabeth Kent, Managing Director, BlackRock
- Amanda Roberts, Senior Counsel – Legal, Invesco
- Susan Pereira, Assistant General Counsel and Vice President, MFS
- Brian Privor, Chief Regulatory Officer, Ultimus Fund Solutions
- William Kimme, VP, Senior Compliance Officer, Ultimus Fund Solutions
- Sean Collins, Chief Economist, Investment Company Institute

Among the topics discussed were the Commission's rulemaking proposal on the:

- *Enhanced Reporting of Proxy Votes by Registered Management Investment Companies; Reporting of Executive Compensation Votes by Institutional Investment Managers*, set forth in Release Nos. 34-93169; IC-34389 (September 29, 2021).