

MEMORANDUM

October 29, 2014

TO: File No. S7-11-13
File No. S7-09-13

FROM: Mark T. Uyeda
Office of Commissioner Michael S. Piwowar

RE: Meeting with Small Business and Entrepreneurship Council

On October 28, 2014, Mark T. Uyeda from the Office of Commissioner Michael S. Piwowar and John Cook from the Office of Commissioner Daniel M. Gallagher met with the following representatives from the Small Business and Entrepreneurship Council (SBE Council):

- Karen Kerrigan, President & CEO, SBE Council
- Cynthia Cardoso, SBE Council Office Assistant
- Todd Flemming, President & CEO, Advantor; CEO and Co-Founder, InfrSAFE
- Barbara Weltman, Founder, Big Ideas for Small Business
- Brian Moran, Founder & CEO, Brian Moran & Associates
- Victoria Braden, President, Braden Benefits Strategies

The participants discussed the crowdfunding and Regulation A+ rulemakings.