

## **MEMORANDUM**

TO: File No. S7-11-13

FROM: Giles T. Cohen  
Office of Commissioner Luis A. Aguilar

DATE: August 13, 2014

SUBJECT: Meeting with Representatives of the Biotechnology Industry Organization  
("BIO")

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On August 12, 2014, Giles T. Cohen and Neil Lombardo, Counsels to Commissioner Aguilar, had a meeting with Charles Fritts, Senior Director, Federal Government Relations, BIO, Charles Crain, Senior Manager, Tax & Financial Services Policy, BIO, Shelly Mui-Lipnik, Senior Director, Tax & Financial Services Policy, BIO, Jillien Flores, Director, Porterfield, Lowenthal, Fetting & Sears, LLC, and Brian Hahn, Chief Financial Officer, Glycomimetics, Inc.

The participants discussed the rulemaking for small and additional issues exemptions under Section 3(b) of the Securities Act.