

MEMORANDUM

TO: File No. S7-11-10

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: October 5, 2010

SUBJECT: Meeting with Representatives of The Nasdaq OMX Group and
SMARTS Group, Inc.

On September 30, 2010, Michael E. Coe, Counsel to Commissioner Aguilar, met with Anna Ewing, Chief Information Officer of NASDAQ OMX; John Zecca, Senior Vice President of NASDAQ OMX; Andreas Furche, Chief Executive Officer of SMARTS; Lorne Chambers, Head of Sales for SMARTS; and Michael Karbouris, Head of SMARTS US. The discussion included, among other things, the Commission's proposal for a Consolidated Audit Trail.