

MEMORANDUM

TO: File Nos. S7-17-22, S7-16-22, S7-10-22

FROM: Diem-Mi Lu – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: U.S. Impact Investing Alliance

DATE: August 11, 2023

On August 11, 2023, Commissioner Jaime Lizárraga, Parisa Haghshenas, Brandon Hill, Laura D’Allaird, and Diem-Mi Lu met telephonically with the following individuals:

- Fran Seegull, Executive Director, U.S. Impact Investing Alliance
- John Cochrane, Sr. Manager, Policy and Programs, U.S. Impact Investing Alliance
- Hannah Carr, Sr. Policy Assistant, U.S. Impact Investing Alliance
- Estuardo Rodriguez, Principal, The Raben Group

Among the topics discussed were the Commission’s proposed rules on the Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies, Investment Company Names, the Enhancement and Standardization of Climate-Related Disclosures for Investors, and the Human Capital Management Disclosure recommendation under consideration by the Division of Corporation Finance.