

MEMORANDUM

April 6, 2023

TO: File Nos. S7-10-22; S7-16-22; S7-17-22

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Ceres Accelerator for Sustainable Capital Markets (“Ceres”)

On April 4, 2023, Commissioner Mark T. Uyeda and his counsels, Charles Lee and Holly Hunter-Ceci, met virtually with the following Ceres representatives:

- John Coburn, Senior Manager, Disclosure
- Randi Mail, Director, Campaigns
- Jake Rascoff, Director, Climate Financial Regulation
- Steven Rothstein, Managing Director

The Ceres representatives discussed their comment letters relating to the following proposals: (i) the enhancement and standardization of climate-related disclosures for investors; (ii) enhanced disclosure by certain investment advisers and investment companies about environmental, social, and governance investment practices; and (iii) investment company names.