

To: File No. S7-10-20
From: Sai Rao, *Policy Counsel*
Date: 10/22/21
Re: Meeting with representatives from the Securities Industry and Financial Markets Association (SIFMA)

On October 22, 2021, members of the Chair's office met with representatives of SIFMA to discuss matters relating to the Consolidated Audit Trail, including the proposed rule on data security enhancements.

Members of the Chair's office included:

- Sai Rao, Policy Counsel
- Lisa Helvin, Legal Counsel
- Philipp Havenstein, Operations Counsel

Representatives from SIFMA included:

- Ellen Green, SIFMA
- Sapna Patel, Morgan Stanley
- Douglas Bloom, Morgan Stanley
- Gregg Berman, Citadel Securities
- Debbie Toennies, JP Morgan
- David Emero, Goldman Sachs
- Thom Tremaine, Raymond James
- Ken Bentsen, SIFMA
- Joseph Seidel, SIFMA
- Joseph Corcoran, SIFMA
- Robert Toomey, SIFMA