

## MEMORANDUM

TO: File No. S7-10-20

FROM: Andrew Sherman  
Division of Trading and Markets

DATE: February 8, 2021

SUBJECT: Meeting with Representatives of the Securities Industry and Financial  
Markets Association (“SIFMA”)

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On February 3, 2021, Commission staff met with the following representatives of SIFMA:

Gregg Berman, Citadel  
Mark Hendrickson, DA Davidson  
John Sheehy, Fidelity  
Aileen Foley, Fidelity  
David Emero, Goldman Sachs  
Ben Hoffart, Goldman Sachs  
Colin Alexander, JP Morgan  
Debbie Toennies, JP Morgan  
Bill Freilich, JP Morgan  
Doug Bloom, Morgan Stanley  
Sapna Patel, Morgan Stanley  
Thom Tremaine, Raymond James  
William Leahey, Refinitiv  
Judy McDonald, Susquehanna International Group  
Kenneth Bentsen, SIFMA  
Ellen Greene, SIFMA  
Joe Corcoran, SIFMA

During that meeting, SIFMA discussed its views on the proposed amendments to the national market system plan governing the consolidated audit trail to enhance data security, which are reflected in a comment letter that SIFMA has submitted to the Commission. The Commission staff representatives from the Division of Trading and Markets were Christian Sabella, Roni Bergoffen, David Shillman, David Hsu, Mark Donohue, Erika Berg, Andrew Sherman and Gita Subramaniam. Laura Tuttle, Hans Heidle, John Ritter, Chantal Hernandez and Charles Woodworth of the Division of Economic and Risk Analysis were also present.