

## MEMORANDUM

TO: File No. S7-10-20

FROM: Erika Berg  
Division of Trading and Markets

DATE: December 9, 2020

SUBJECT: Meeting with Representatives of Amazon Web Services, Inc. (“AWS”)

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On November 16, 2020, Commission staff met with the following representatives of and consultants for AWS:

Blair Anderson  
John Carlson  
Andy Hendrickson  
Robert Kissell  
Chuck Leppert  
Ligia Lopes  
Steven Shaffer  
Bill Shinn  
Olivia Peterson  
Eric Wazorko

During that meeting, AWS discussed its views on the proposed amendments to the national market system plan governing the consolidated audit trail to enhance data security, which were subsequently reflected in a comment letter to the Commission. The Commission staff representatives from the Division of Trading and Markets were Brett Redfearn, Christian Sabella, Dave Shillman, David Hsu, Rebekah Liu, Andrew Sherman, Erika Berg, Gita Subramaniam, and Sakisha Jackson. Andrew Krug from the Office of Information Technology and Manisha Kimmel, Senior Policy Advisor, Regulatory Reporting, were also present.