

## MEMORANDUM

TO: File no. S7-10-20

FROM: Sai Rao  
*Policy Counsel, Office of the Chair*

RE: Meeting with CAT LLC and FINRACAT

DATE: July 8<sup>th</sup>, 2022

---

On May 18<sup>th</sup>, Chair Gensler; Prashant Yerramalli, Chief of Staff; Heather Slavkin Corzo, Policy Director; Keo Chea, Director of Public Engagement; Sai Rao, Trading and Markets Counsel; Haoxiang Zhu, Director of Trading and Markets; David Saltiel, Deputy Director of Trading and Markets; and Jessica Wachter, Director of the Division of Economics and Risk Analysis met with:

- Michael Simon, Independent Senior Advisor, Deloitte, Chairman of CAT LLC
- Shelly Bohlin, COO, FINRACAT
- Scott Donaldson, Chief Technology Officer, FINRACAT
- David Yacono, CISO, FINRACAT, CAT LLC
- Andre Owens, Partner, WilmerHale, CAT LLC Counsel

The participants discussed the general security requirements of FINRACAT. At the end of the call, the participants touched on the feasibility of the proposed CAT Data Security Amendment.