

MEMORANDUM

TO: File no. S7-10-20

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with CAT LLC and FINRACAT

DATE: May 18, 2022

On May 18th, Chair Gensler; Prashant Yerramalli, Chief of Staff; Heather Slavkin Corzo, Policy Director; Keo Chea, Director of Public Engagement; Sai Rao, Trading and Markets Counsel; Megan Barbero, Deputy General Counsel; and Robert Teply, Assistant General Counsel for Trading and Markets met with:

- Michael Simon, Independent Senior Advisor, Deloitte, Chairman of CAT LLC
- Shelly Bohlin, COO, FINRACAT
- Scott Donaldson, Chief Technology Officer, FINRACAT
- David Yacono, CISO, FINRACAT, CAT LLC
- Andre Owens, Partner, WilmerHale, CAT LLC Counsel

The participants discussed the general security requirements of FINRACAT. At the end of the call, the participants touched on the feasibility of the proposed CAT Data Security Amendment.