

MEMORANDUM

TO: File no. S7-10-20

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with the Nasdaq

DATE: January 25, 2022

On January 25, 2022, Sai Rao, Policy Counsel, Office of the Chair, met with the following representatives of Nasdaq:

- Jeff Davis, Senior Vice President and Deputy General Counsel
- Cindy Retterer, Vice President and Representative to FINRA CAT Operating Committee

The participants discussed the CAT Data Security Amendment.