

## MEMORANDUM

TO: File no. S7-10-20

FROM: Sai Rao  
*Policy Counsel, Office of the Chair*

RE: Meeting with the NYSE

DATE: January 26, 2022

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On January 26, 2022, Sai Rao, Policy Counsel, Office of the Chair, met with the following representatives of NYSE:

- Hope Jarkowski, General Counsel
- Soniya Shrivistav, Director, Regulatory Initiatives
- Stephen Larson, Head of NYSE Surveillance

Among other things, the participants discussed the CAT Data Security Amendment.