

McElveen, Josephine

ES135768

From: Scott Asbjornson [ScottA@aaon.com]
Sent: Wednesday, August 25, 2010 12:32 PM
To: CHAIRMANOFFICE
Subject: Proxy Ballot Access

Chairman Schapiro,

I just read of the passage of a proxy ballot access change which allows people with three percent of the voting stock of a company, which they have held for three years, to place candidates on the ballot for members of the Board of Directors. Is there a mechanism in place to require investors, of all kinds, to report when they attain a three percent ownership position in a company, or when they decrease their holdings below three percent? If not, why not? If this level of holdings and timeframe is going to be used for such an important issue, should there not be a requirement that all investors who attain a three percent ownership stake report their ownership?

Thank you for your time.

Sincerely,
Scott Asbjornson

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