

MEMORANDUM

To: File No. S7-10-00

From: Hunter Jones  
Office of Chairman Mary L. Schapiro

Date: February 20, 2009

Re: Meeting with Representatives of Financial Planning Association

On February 19, 2009, Chairman Mary L. Schapiro and Hunter Jones, Counsel to the Chairman, met with the following representatives of the Financial Planning Association: Richard Salmen, President; Marvin W. Tuttle, Jr., Executive Director; Duane Thompson, Managing Director; and Daniel Barry, Director of Government Relations. The representatives discussed issues raised in the May 2008 comment letter filed by the Financial Planning Association with the Commission, in public comment file S7-10-00.