

MEMORANDUM

TO: File Number S7-09-19

FROM: Sheila Dombal Swartz
Senior Special Counsel
Division of Trading and Markets

DATE: November 25, 2019

RE: Meeting with Representatives of OneChicago

On November 21, 2019, Commission staff participated in a meeting with representatives of OneChicago to discuss the amendments to the margin rules regarding security futures proposed jointly with the CFTC (Release No. 34-86304).

Commission staff at the meeting included Lizzie Baird, Tom McGowan, Randall Roy, Ray Lombardo, Sheila Swartz, and Abraham Jacob.

OneChicago representatives at the meeting included Tom McCabe and Graham Deese.