

MEMORANDUM

To: File

From: Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

Date: June 28, 2019

Re: Discussion with John Coates

On May 16, 2019, Satyam Khanna, counsel to Commissioner Robert J. Jackson, Jr., and Haley Sylvester of the Office of Commissioner Jackson, had a discussion with Professor John Coates of Harvard Law School. Among the topics discussed were the Commission's proposed Regulation Best Interest, Form CRS, and Interpretation Regarding Standard of Conduct for Investment Advisers.