

MEMORANDUM

To: File

From: Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

Date: June 28, 2019

Re: Discussion with Phyllis Borzi

On May 16, 2019, Satyam Khanna, counsel to Commissioner Robert J. Jackson, Jr., and Haley Sylvester of the Office of Commissioner Jackson, had a discussion with Phyllis Borzi. Among the topics discussed were the Commission's proposed Regulation Best Interest, Form CRS, and Interpretation Regarding Standard of Conduct for Investment Advisers.