

MEMORANDUM

To: File

From: Caroline Crenshaw
Counsel to Commissioner Robert J. Jackson
U.S. Securities and Exchange Commission

Date: December 21, 2018

Re: Discussion with North American Securities Administrators Association and the Maryland Securities Commissioner.

On October 30th, 2018 Commissioner Robert J. Jackson met with Mike Pieciak, Valerie Mirko, and Joey Brady of the North American Securities Administrators Association and Melanie Lubin the Maryland Securities Commissioner to discuss proposed Regulation Best Interest and Form CRS.