

MEMORANDUM

To: File

From: Caroline Crenshaw
Counsel to Commissioner Robert J. Jackson
U.S. Securities and Exchange Commission

Date: December 21, 2018

Re: Discussion with Investments & Wealth Institute and Potomac Strategies LLC.

On October 30th, 2018, Commissioner Robert J. Jackson met with Duane Thompson of Potomac Strategies and Sean Walters of the Investments & Wealth Institute to discuss the Commission's proposed Regulation Best Interest and Form CRS.