

MEMORANDUM

To: File

From: Christina Thomas
Counsel to Commissioner Elad L. Roisman
U.S. Securities and Exchange Commission

Date: September 19, 2018

Re: Discussion with Investor Advisory Committee Members

On September 12, 2018, Commissioner Elad L. Roisman met with the following members of the Investor Advisory Committee: Anne Sheehan, Craig Goetsch, Nancy LeaMond, Barbara Roper, Matthew Furman, and John Coates. The parties discussed the Commission's proposed Regulation Best Interest.