

MEMORANDUM

To: File Nos. S7-07-18, S7-08-18, S7-09-18

From: Eric Diamond, Senior Advisor to Chairman Jay Clayton

Re: Standards of Conduct for Investment Professionals

Date: July 31, 2018

On July 30, 2018, Chairman Jay Clayton and Eric Diamond (Senior Advisor to Chairman Clayton) met with the following representatives of Edward Jones:

- Jim Weddle (Managing Partner and Chief Executive Officer)
- Chris Lewis (General Counsel)
- Merri Jo Gillette (Chief Compliance Officer)
- Jesse Hill (Head of Government and Regulatory Relations)
- John Callahan (Principal)
- Rick Roberts (Roberts, Raheb & Gradler LLC)

The meeting participants discussed, among other things, the SEC's proposed rules and interpretation relating to standards of conduct for investment professionals.