

MEMORANDUM

FILE: S7-09-13

FROM: Heather Percival
Honors Intern
Office of Chief Counsel, Division of Trading and Markets
U.S. Securities and Exchange Commission

RE: Meeting with the Board Members of CFIRA

DATE: November 22, 2013

On November 22, 2013, Commission staff met with CrowdFund Intermediary Regulatory Advocates (“CFIRA”), to discuss the proposed rules to implement Title III of the Jumpstart Our Business Startups Act.

The following Commission staff representatives participated: Joseph Furey, Leila Bham, Timothy White, and Heather Percival from the Division of Trading and Markets; Jessica Dickerson from the Division of Corporation Finance.

The following members of CFIRA participated: Chris Tyrrell, CEO, OfferBoard; DJ Paul, Co-Chair and Chief Strategy Officer, Gate Global Impact; Doug Ellenoff, Partner, Ellenoff Grossman and Schole; Daryl Bryant, CEO, StartupValley; Stephen Fernando, Founder and Chief Information Officer, CrowdClear; Freeman White, CEO, Launcht; Mary Juetten, Founder, TrakLight.com; Jonathan Miller, President, OptionTrax.