

MEMORANDUM

November 24, 2014

TO: File No. S7-09-13
File No. S7-06-13

FROM: Mark T. Uyeda
Office of Commissioner Michael S. Piwowar

RE: Meeting with Engine

On November 24, 2014, Mark T. Uyeda from the Office of Commissioner Michael S. Piwowar and John Cook from the Office of Commissioner Daniel M. Gallagher met with Anna Duning, program manager of Engine, and Ryan Triplette, principal of Franklin Square Group LLC. The participants discussed the crowdfunding and Regulation D rulemakings.