

MEMORANDUM

October 1, 2014

TO: File No. S7-09-13

FROM: Mark T. Uyeda
Office of Commissioner Michael S. Piwowar

RE: Telephone call with StartEngine

On September 29, 2014, Mark T. Uyeda, counsel to Commissioner Michael S. Piwowar, spoke by telephone with Ronald D. Miller, partner, StartEngine, and discussed the crowdfunding rulemaking.