

MEMORANDUM

TO: File S7-09-13

FROM: Zachary Davis
Honors Intern
Office of the Chief Counsel, Division of Trading and Markets
U.S. Securities and Exchange Commission

RE: Meeting with Representative of the University of California, Berkeley

DATE: January 16, 2014

On January 16, 2014, Commission staff met with a representative of the University of California, Berkeley to discuss the proposed rules to implement Title III of the Jumpstart Our Business Startups Act.

The following Commission staff representatives attended: Joseph Furey, Joanne Rutkowski, Leila Bham, Timothy White and Carla Carriveau from the Division of Trading and Markets; Sebastian Gomez and Jessica Dickerson from the Division of Corporation Finance; Scott Bauguess, Vladimir Ivanov and Simona Mola Yost from the Division of Economic and Risk Analysis; Sarah Buescher from the Division of Investment Management; Lesli Sheppard from the Office of International Affairs; Anita Bandy from the Division of Enforcement; and Christine Sibille from the Office of Compliance Inspections and Examinations.

The following representative of the University of California, Berkeley attended: Richard Swart, Director of Research under the Program for Innovation in Entrepreneurial and Social Finance, Fung Institute for Engineering Leadership.