

MEMORANDUM

To: File Nos. S7-21-09 and S7-09-10

From: Jennifer Colihan
Trading and Markets

Date: November 12, 2010

Re: Meeting with Representative of IMC Financial (“IMC”)

On November 9, 2010, staff from the Division of Trading and Markets (Heather Seidel, Associate Director; John Roeser, Assistant Director; Dan Gray, Senior Special Counsel; Steve Williams, Senior Special Advisor; Jennifer Colihan, Special Counsel; Ed Cho, Special Counsel; Brian O’Neill, Attorney) met with the following representatives from IMC: Andrew Stevens, Legal Counsel; Robin Van Boxsel, Managing Director; Andrew Larsen, Senior Trader, and Tim Edwards, Senior Trader. The participants discussed issues relating to the following proposed rule-makings: Elimination of Flash Order Exception from Rule 602 of Regulation NMS and Proposed Amendments to Rule 610 of Regulation NMS.