

MEMORANDUM

TO: File No. S7-08-22

FROM: Division of Trading and Markets

RE: Meeting with Representatives of AIMA

DATE: July 20, 2022

On July 20, 2022, members of the SEC staff had a teleconference with representatives of the Alternative Investment Management Association (AIMA) regarding the SEC's proposed rules regarding short sale disclosure requirements for institutional investment managers. SEC representatives from the Division of Trading and Markets included Josephine Tao, Carol McGee, Timothy Riley, Laura Compton, Quinn Kane, James Curley, Jessica Kloss, Brendan McLeod, and Roland Lindmayer. SEC representatives from the Division of Economic and Risk Analysis included Amy Edwards, Cuyler Strong, and Peter Dixon. The AIMA representatives included Susan Rose, Daniel Austin, Jiri Krol, Adam Jacobs-Dean, Sy Lorne, Kevin Bhatia, Aaron Friedman, and Edgar Ortega Barrales.

The participants discussed AIMA's comments on Proposed Rule 13f-2 and Proposed Rule 205.