

MEMORANDUM

To: File No. S7-08-20
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of the Investor Protection League

On 22 September, 2020, Commissioner Hester M. Peirce and William Middlebrooks, Counsel to Commissioner Peirce, met with the following representatives from the Investor Protection League:

- David Goldman - Vice President, Corporate Development & General Counsel, Gabelli Funds
- Chris Jackson - Senior Vice President & General Counsel, Calamos Investments
- Estuardo Rodriguez - Founding Principal, The Raben Group
- Diego Sánchez - Director, The Raben Group
- David Goldman - Vice President, Corporate Development & General Counsel, Gabelli Funds
- Chris Jackson - Senior Vice President & General Counsel, Calamos Investments
- Estuardo Rodriguez - Founding Principal, The Raben Group
- Diego Sánchez - Director, The Raben Group

Among the topics discussed were the Commission's rulemaking proposal on: *Reporting Threshold for Institutional Investment Managers*, set forth in Release No. 34-89290 (July 10, 2020).