

MEMORANDUM

To: Public Comment File on proposed Form CRS Relationship Summary (File No. S7-08-18)

From: Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

Re: Meeting with the Investment Adviser Association (“IAA”)

On April 12, 2019, Commissioner Elad L. Roisman and Elizabeth Blase met with the following representatives of IAA:

- Karen Barr, President & CEO, IAA;
- Neil Simon, VP Government Affairs, IAA;
- Sarah Buescher, Associate General Counsel, IAA;
- Sanjay Lamba, Associate General Counsel, IAA;
- Chris Carsman, AMG; and
- Jon Roberts, Klingenstein Fields.

The participants discussed a number of issues related to the Commission’s proposed Regulation Form CRS Relationship Summary and IAA’s comment letter relating to the same.