

MEMORANDUM

To: File

From: Satyam Khanna
Counsel to Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

Date: October 12, 2018

Re: Discussion with Representatives from Charles Schwab

On August 16, 2018, Commissioner Robert J. Jackson, Jr. and Satyam Khanna, counsel to Commissioner Robert J. Jackson, Jr., met with officials from Charles Schwab. Among the topics discussed were the Commission's proposed standards of conduct for investment advisers and broker-dealer and Form CRS.