

MEMORANDUM

TO: File No. S7-08-18

FROM: Morgan Tangney, Attorney Adviser  
Office of the Investor Advocate

DATE: July 13, 2018

RE: Meeting with Representatives of Donnelley Financial Solutions

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On July 11, 2018, Rick Fleming, Investor Advocate; Marc Sharma, Chief Counsel of the Office of the Investor Advocate (“OIAD”); Brian Scholl, Senior Economist of OIAD; Alexandra Ledbetter, Senior Counsel of OIAD; and Morgan Tangney, Attorney Adviser of OIAD, met with the following representatives of Donnelley Financial Solutions (“DFS”): Steve Margolis, Executive Director, DFS; Eric Johnson, President, Global Investment Markets, DFS; and Cynthia Clarke, Chief Technology Officer, DFS. Among the topics discussed was the Commission’s proposed Form CRS Relationship Summary.