

## MEMORANDUM

**To:** File No. S7-08-15

**From:** Jennifer R. Porter  
Senior Advisor to Chair White  
U.S. Securities and Exchange Commission

**Date:** November 10, 2016

**Re:** Investment Company Reporting Modernization: Release Number IC-31610

On November 9, 2016, Andrew (Buddy) Donohue, Jennifer Porter, David Grim, Kathleen Joaquin, Joel Cavanaugh and Matthew DeLesDernier met with the following representatives from the Financial Services Roundtable:

Felicia Smith, Vice President and Senior Counsel for Regulatory Affairs, Financial Services Roundtable

Sean Cassidy, Vice President, Federal Government Affairs, Voya Financial, Inc.

William J. Evers, Vice President and Chief Counsel, Prudential Financial

Kathryn Fulton, Managing Director, BlackRock, Inc.

Jesse Hill, Principal, Edward Jones

Jill Hoffman, Vice President of Government Affairs for Investment Management, Financial Services Roundtable

Gerald T. Lins, Managing Director and General Counsel, Voya Investment Management

Lynette McCloud, Principal, Legal – Enterprise Solutions, Edward Jones

Ricky Memphin, Law Clerk, Financial Services Roundtable

The purpose of the meeting was to discuss proposed rule 30e-3 under the Investment Company Act of 1940 and the investment company reporting modernization proposing release.