

MEMORANDUM

TO: File No. S7-08-15

FROM: Adam B. Glazer
Counsel to Commissioner Michael S. Piwowar

RE: Meeting with Representatives of Broadridge Financial Solutions, Inc.

DATE: October 6, 2016

On September 30, 2016, Commissioner Michael S. Piwowar and his legal advisor, Adam Glazer, met with the following representatives of Broadridge Financial Solutions, Inc.:

- Robert Schifellite, Corporate Senior Vice President and President, Investor Communication Solutions; and
- Chuck Callan, Senior Vice President, Regulatory Affairs.

The participants discussed, among other things, the Commission's proposed new rule, 30e-3 under the Investment Company Act, in Investment Company Act Release No. 31610 (May 20, 2015).