

MEMORANDUM

TO: File No. S7-08-15

FROM: Marc Oorloff Sharma, Senior Special Counsel  
Office of the Investor Advocate

DATE: May 16, 2016

RE: Investment Company Reporting Modernization—Release No. IC-31610

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On May 12, 2016, Rick Fleming, Investor Advocate, Marc Oorloff Sharma, Senior Special Counsel, Adam Moore, Senior Counsel, and Stephen Deane, Investor Engagement Advisor of the Office of the Investor Advocate, met with the following representatives: David Blass, General Counsel, Investment Company Institute; Dorothy Donohue, Deputy General Counsel, Investment Company Institute; Linda French, Counsel, Investment Company Institute; Shelly Antoniewicz, Senior Economist, Investment Company Institute; Marty Burns, Chief Industry Operations Officer, Investment Company Institute; Rheeta Wise, President, MFS Investment Management; Jay Herold, Counsel, MFS Investment Management; Paul Royce, Senior Vice President, Capital Research and Management Company; Tara Buckley, Senior Counsel, Vanguard Group; Andrew Redding, Senior Manager, Global Client & Investment Report Division, T. Rowe Price; and Maria Greenwell, Program Manager for Compliance Mailings, T. Rowe Price.

The purpose of the meeting was to discuss proposed rule 30e-3 under the Investment Company Act of 1940.