

MEMORANDUM

To: File

From: Caroline Crenshaw
Counsel to Commissioner Robert J. Jackson
U.S. Securities and Exchange Commission

Date: August 16, 2018

Re: Discussion with CFA

On May 29, 2018, Caroline Crenshaw and Prashant Yeramalli, counsels to Commissioner Robert J. Jackson, conferred with Barbara Roper of the CFA. Among the topics discussed was the Commission's proposed Rule 30e-3.