

## MEMORANDUM

**To:** File No. S7-08-15

**From:** Jonathan Carr, Counsel to Commissioner Hester Peirce

**Re:** Meeting with Representatives of the Investment Company Institute, Fidelity Investments, BlackRock, T. Rowe Price, MFS Investment Management, Capital Research and Management Company, Vanguard Group, and Oppenheimer Funds/Mass Mutual

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On May 8, 2018, Commissioner Hester Peirce and Jonathan Carr, Counsel to Commissioner Peirce, met with the following individuals:

- Margaret Carey, Fidelity Investments
- Kate Fulton, BlackRock
- Bob Grohowski, T. Rowe Price
- Jay Herold, MFS Investment Management
- Paul Roye, Capital Research and Management Company
- Lex Smith, Vanguard Group
- James Sonne, Oppenheimer Funds/Mass Mutual
- Shelley Antoniewicz, Investment Company Institute
- Dorothy Donohue, Investment Company Institute
- Linda French, Investment Company Institute
- Joanne Kane, Investment Company Institute
- Dean Sackett, Investment Company Institute

Among the topics discussed were the Commission's proposed new rule 30e-3 under the Investment Company Act, Investment Company Act Release No. 31610 (May 20, 2015).