

MEMORANDUM

TO: File No. S7-08-15

FROM: Paul A. Gumagay
Office of Commissioner Luis A. Aguilar

DATE: August 12, 2015

SUBJECT: Meeting with Representatives of Broadridge Financial Solutions, Inc.

On August 12, 2015, Paul A. Gumagay, Counsel to Commissioner Aguilar, and Giles T. Cohen, Counsel to Commissioner Aguilar, met with the Broadridge Financial Solutions, Inc. (“Broadridge”) representatives Robert Schifellite (President, Investor Communication Solutions), Charles Callan (Senior Vice President, Regulatory Affairs), and Sharyn Bilenker (Vice President, Corporate Affairs & Strategic Development). The discussion included, among other things, the Commission’s Investment Company Reporting Modernization proposed rules. The Broadridge representatives also referred to a comment letter (with attachments) filed recently with the Commission. *See* Comment letter from Broadridge, *Comments on Proposed Rule 30e-3, Investment Company Reporting Modernization, File Number S7-08-15*, (Aug. 11, 2015), available at <http://www.sec.gov/comments/s7-08-15/s70815-321.pdf>.