

## MEMORANDUM

**To:** File

**From:** Christopher McHugh  
Counsel to Commissioner Kara Stein  
U.S. Securities and Exchange Commission

**Date:** August 12, 2015

**Re:** Discussion with Broadridge Financial Solutions, Inc.

On Wednesday August 12, 2015, Commissioner Stein and Christopher McHugh met with Robert Schifellite, Sharyn Bilenker, and Chuck Callan of Broadridge. Among the topics discussed was the Commission's proposed rule 30e-3 under the Investment Company Act of 1940.