

MEMORANDUM

TO: File No. S7-08-15

FROM: Margaret Reiney
Office of the Investor Advocate
U.S. Securities and Exchange Commission

DATE: July 7, 2017

RE: Investment Company Reporting Modernization—Release No. IC-31610

On July 6, 2017, several staff members from the Office of the Investor Advocate met with representatives of Broadridge Financial Solutions, Inc. (“Broadridge”). The staff members from the Office of the Investor Advocate included Brian Scholl, Senior Economist; Alexandra Ledbetter, Senior Counsel; and Margaret Reiney, Intern. Representatives from Broadridge included Chuck Callan, Senior Vice President, Regulatory Affairs, and Sharyn Bilenker, Vice President, Regulatory Affairs and New Product Strategy.

Among the topics discussed was proposed rule 30e-3 under the Investment Company Act of 1940, regarding electronic delivery of investment company shareholder reports.