

**MEMORANDUM**

**TO:** File No. S7-08-15

**FROM:** Alexandra M. Ledbetter  
Office of the Investor Advocate  
U.S. Securities and Exchange Commission

**DATE:** June 22, 2017

**RE:** Investment Company Reporting Modernization—Release No. IC-31610

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On June 12, 2017, several staff members from the Office of the Investor Advocate met with representatives of Broadridge Financial Solutions, Inc. (“Broadridge”). The staff members from the Office of the Investor Advocate included Brian Scholl, Senior Economist; Stephen Deane, Investor Engagement Advisor; and Alexandra Ledbetter, Senior Counsel. Representatives from Broadridge included Chuck Callan, Senior Vice President, Regulatory Affairs; Robert Schifellite, President, Investor Communication Services; Sharyn Bilenker, Vice President, Regulatory Affairs and New Product Strategy.

Among the topics discussed was proposed rule 30e-3 under the Investment Company Act of 1940, regarding electronic delivery of investment company shareholder reports.