

MEMORANDUM

TO: File No. S7-08-15

FROM: Marc Oorloff Sharma, Chief Counsel
Office of the Investor Advocate

DATE: April 4, 2017

RE: Investment Company Reporting Modernization—Release No. IC-31610

On April 3, 2017, Rick Fleming, Investor Advocate, Marc Sharma, Chief Counsel, and Brian Scholl, Senior Economist, all of the Office of the Investor Advocate, met with the following representatives of the Investment Company Institute: David Blass, General Counsel; Dorothy Donohue, Deputy General Counsel; Matt Thornton, Assistant General Counsel; Linda French, Counsel; Sarah Holden, Senior Director, Retirement and Investor Research; Brian Reid, Chief Economist; and Jason Seligman, Senior Economist.

Among the various topics discussed was proposed rule 30e-3 under the Investment Company Act of 1940, regarding electronic delivery of investment company shareholder reports.