

## MEMORANDUM

TO: File Nos. S7-08-12, S7-02-13, S7-34-10, S7-40-11, S7-06-15

FROM: Paul A. Gumagay  
Office of Commissioner Luis A. Aguilar

DATE: June 18, 2015

SUBJECT: Meeting with Representatives of Goldman Sachs

On June 18, 2015, Smeeta Ramarathnam, Chief of Staff to Commissioner Aguilar, and Paul A. Gumagay, Counsel to Commissioner Aguilar, met with Goldman Sachs representatives Kenneth M. Connolly (Managing Director, Government Affairs), Alexander Evis (Managing Director, Securities Risk Management, Securities Division), John Vitha (Managing Director, Legal Department), Amy Hong (Vice President, Market Structure Strategy for Global Credit), and Margaret E. Vaden (Vice President, Associate General Counsel). The discussions included, among other things, the Commission's proposed rules regarding:

- *Capital, Margin, and Segregation Requirements for Security-Based Swap Dealers and Major Security-Based Swap Participants and Capital Requirements for Broker-Dealers;*
- *Cross-Border Security-Based Swap Activities; Re-Proposal of Regulation SBSR and Certain Rules and Forms Relating to the Registration of Security-Based Swap Dealers and Major Security-Based Swap Participants; and*
- *Application of Certain Title VII Requirements to Security-Based Swap Transactions Connected with a Non-U.S. Person's Dealing Activity That Are Arranged, Negotiated, or Executed By Personnel Located in a U.S. Branch or Office or in a U.S. Branch or Office of an Agent.*